

NEW UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Docket No. M4065.0210/P210

submission

Total pages in this

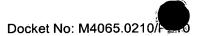
TO THE ASSISTANT COMMISSIONER FOR PATENTS **Box Patent Application**

Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

IMPROVED MEMORY CELL CAPACITOR STRUCTURE AND METHOD OF FORMATION
and invented by:
Sam Yang and Dan Gealy
IF A CONTINUATION APPLICATION, check appropriate box and supply requisite information:
Continuation Divisional
Continuation-in-part (CIP) of prior application No.:
Enclosed are:
Application Elements
1. X Filing fee as calculated and transmitted as described below
2. X Specification having 30 pages(s) and including the following:
a. X Descriptive title of the invention
b. Cross references to related applications (if applicable)
c. Statement regarding Federally-sponsored research/development (if applicable)
d. Reference to microfiche appendix (if applicable)
e. X Background of the invention
f. X Brief summary of the invention
g. X Brief description of the drawings (if drawings filed)
h. X Detailed description
i. X Claims as classified below
j. X Abstract of the disclosure

1155327 v1; _RGF01!.DOC 1155327 v1; _RGF01!.DOC



Application Elements (continued)						
3. X Drawing(s) (when necessary as prescribed by 35 U.S.C. 113)						
X Formal Informal Number of sheets: 4						
4. X Oath or Declaration						
a. X Newly executed (original or copy) Unexecuted						
b. Copy from a prior application (37 C.F.R. 1.63(d) (for continuation/divisional applications only)						
c. With Power of Attorney						
5. Incorporation by reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.						
6. Computer program in microfiche						
7. Genetic sequence submission (if applicable, all must be included)						
a. Paper copy						
b. Computer readable copy						
c. Statement verifying identical paper and computer readable copies						
Accompanying Application						
8. X Assignment papers (cover sheet & document(s))						
9. X 37 C.F.R. 3.73(b) statement (when there is an assignee)						
10. English translation document (if applicable)						
11. Information Disclosure Statement/PTO-1449 Copies of IDS citations						
12. Preliminary Amendment						
13. X Acknowledgment postcard						
14. Certified copy of priority document(s) (if foreign priority is claimed)						
15. Certificate of Mailing						
First Class Express Mail (Label No.:)						
16. Small Entity statement(s) # submitted (if Small Entity status claimed)						



Docket No: M4065.0210/h-2-0

	÷	Accompanying	g Applicatio	n (continued)			
17. Additional enclosures (please identify below):							
Fee Calculation and Transmittal							
The filing fee for th	is utility pat	ent application is	calculated a	nd transmitted as follo	ows:		
x Large Entity		Small Entity					
CLAIMS AS FILED							
For	# Filed	# Allowed	# Extra	Rate	Fee		
Total Claims	96	- 20 =	76	x \$18.00	\$1,368.00		
Independent Claims	3	- 3 =		x \$78.00			
Multiple Depen	dent Claim	s (check if appli	cable)				
Other Fees (specify purpose): - Assignment Recordation Fee					\$40.00		
BASIC FEE					\$690.00		
	\$2098.00						
below. A du	sioner is he	ereby authorized to of this sheet is e	o charge and		enclosed 4 - 1073 as described		
	ny overpayn any addition		ired under 3	7 C.F.R. 1.16 and 1.1	7.		
	he issue fee		1.18 at the r	mailing of the Notice o	of Allowance, pursuant		
Jo				Dated: Jui	ne 6, 2000		
Thomas J. D'Am Attorney Reg. No Dickstein Shapiro 2101 L Street NV Washington, DC (202) 785-9700	o.: 28,371 o Morin & O V	•					